Certificate of Foreign Government or Other Foreign Organization for United States Tax Withholding and Reporting

(For use by foreign governments, international organizations, foreign central banks of issue, foreign tax-exempt organizations, foreign private foundations, and governments of U.S. possessions.)

Go to www.irs.gov/FormW8EXP for instructions and the latest information.

Section references are to the Internal Revenue Code.

Do not use this form for:

- A foreign government or other foreign organization that is not claiming the applicability of section(s) 115(2), 501(c), 892, 895, or 1443(b).
- A beneficial owner solely claiming foreign status or treaty benefits.
- A foreign partnership or a foreign trust.
- A person claiming that income is effectively connected with the conduct of a trade or business in the United States.
- A person acting as an intermediary.

Instead, use Form:

- W-8BEN-E or W-8ECI
- W-8BEN or W-8BEN-E
- W-8ECI
- W-8IMY

Part I Identification of Beneficial Owner

1 Name of organization

2 Country of incorporation or organization

3 Type of entity

- Foreign government
- International organization
- Foreign central bank of issue (not wholly owned by the foreign sovereign)

4 Chapter 4 Status (FATCA status):

- Participating FFI.
- Reporting Model 1 FFI.
- Reporting Model 2 FFI.
- Registered deemed-compliant FFI (other than a Reporting Model 1 FFI).
- Nonreporting IGA FFI. Complete Part III.
- Territory financial institution. Complete Part III.
- International organization.

- Foreign government (including a political subdivision).
- Government of a U.S. possession, or foreign central bank of issue. Complete Part III.
- Exempt retirement plan of foreign government. Complete Part III.
- 501(c) organization. Complete Part III.
- Passive NFFE. Complete Part III.
- Direct reporting NFFE.
- Sponsored direct reporting NFFE. Complete Part III.

5 Permanent address (street, apt, or suite no., or rural route). Do not use a P.O. box or in-care-of address (other than a registered address).

City or town, state or province. Include postal code where appropriate.

Country

6 Mailing address (if different from above).

City or town, state or province. Include postal code where appropriate.

Country

7 U.S. TIN, if required (see instructions)

8a GIIN

b Foreign TIN (see instructions)

Reference number(s) (see instructions)

Part II Qualification Statement for Chapter 3 Status

10 For a foreign government:

a  I certify that the entity identified in Part I is a foreign government within the meaning of section 892 and the payments are within the scope of the exemption granted by section 892.

Check box 10b or box 10c, whichever applies.

b  The entity identified in Part I is an integral part of the government of ____________________________ .

c  The entity identified in Part I is a controlled entity of the government of ____________________________ .

11 For an international organization:

- I certify that:
- The entity identified in Part I is an international organization within the meaning of section 7701(a)(18), and
- The payments are within the scope of the exemption granted by section 892.

12 For a foreign central bank of issue (not wholly owned by the foreign sovereign):

- I certify that:
- The entity identified in Part I is a foreign central bank of issue,
- The entity identified in Part I does not hold obligations or bank deposits to which this form relates for use in connection with the conduct of a commercial banking function or other commercial activity, and
- The payments are within the scope of the exemption granted by section 895.
Part II Qualification Statement for Chapter 3 Status (continued)

13 For a foreign tax-exempt organization, including foreign private foundations:

   If any of the income to which this certification relates constitutes income includible under section 512 in computing the
effectively unrelated business taxable income, attach a statement identifying the amounts.

   Check either box 13a or box 13b.

   a ☐ I certify that the entity identified in Part I has been issued a determination letter by the IRS dated
   that is current and that concludes that it is an exempt organization described in section 501(c).

   b ☐ I have attached to this form an opinion from U.S. counsel concluding that the entity identified in Part I is described in
   section 501(c)(3).

   For section 501(c)(3) organizations only, check either box 13c or box 13d.

   c ☐ If the determination letter or opinion of counsel concludes that the entity identified in Part I is described in section 501(c)(3),
   I certify that the organization is not a private foundation described in section 509. I have attached an affidavit of the
   organization setting forth sufficient facts for the IRS to determine that the organization is not a private foundation because it
   meets one of the exceptions described in section 509(a)(1), (2), (3), or (4).

   d ☐ If the determination letter or opinion of counsel concludes that the entity identified in Part I is described in section 501(c)(3),
   I certify that the organization is a private foundation described in section 509.

14 For a government of a U.S. possession:

   ☐ I certify that the entity identified in Part I is a government of a possession of the United States, or a political subdivision
   thereof, and is claiming the exemption granted by section 115(2).

Part III Qualification Statement for Chapter 4 Status (if required)

15 For a nonreporting IGA FFI:

   ☐ I certify that the entity identified in Part I:
   • Meets the requirements to be considered a nonreporting financial institution pursuant to an applicable IGA between the United States
   and _______________; and
   • Is treated as a ________________________ under the provisions of the applicable IGA (see instructions); and
   • If you are an FFI treated as a registered deemed-compliant FFI under an applicable Model 2 IGA, provide your GIIN:

16 For a territory financial institution:

   ☐ I certify that the entity identified in Part I is a financial institution (other than an investment entity) that is incorporated or
   organized under the laws of a possession of the United States.

17 For a foreign government (including a political subdivision), government of a U.S. possession, or foreign central bank of issue:

   ☐ I certify that the entity identified in Part I is the beneficial owner of the payment and is not engaged in commercial financial
   activities of a type engaged in by an insurance company, custodial institution, or depository institution with respect to the
   payments, accounts, or obligations for which this form is submitted (except as permitted in Regulations section 1.1471–6(h)(2)).

18 For an exempt retirement plan of a foreign government:

   ☐ I certify that the entity identified in Part I:
   • Is established and sponsored by a foreign government, international organization, central bank of issue, or government of a
   U.S. possession (each as defined in Regulations section 1.1471-6 or an applicable Model 1 or Model 2 IGA) to provide
   retirement, disability, or death benefits to beneficiaries or participants that are current or former employees of the sponsor (or
   persons designated by such employees); or
   • Is established and sponsored by a foreign government, international organization, central bank of issue, or government of a
   U.S. possession (each as defined in Regulations section 1.1471-6 or an applicable Model 1 or Model 2 IGA) to provide
   retirement, disability, or death benefits to beneficiaries or participants that are not current or former employees of such
   sponsor, but are in consideration of personal services performed for the sponsor.

19 For a 501(c) organization:

   ☐ I certify that the entity identified in Part I is an entity described in section 501(c) but is not an insurance company described
   in section 501(c)(15).

20 For a passive NFFE:

   a ☐ I certify that the entity identified in Part I is a foreign entity that is not a financial institution (other than an investment entity
   organized in a possession of the United States).

   Check box 20b or 20c, whichever applies.

   b ☐ I further certify that the entity identified in Part I has no substantial U.S. owners, or

   c ☐ I further certify that the entity identified in Part I has provided a statement including the name, address, and TIN of each
   substantial U.S. owner of the NFFE (see instructions).

21 Name of sponsoring entity:

   ☐ I certify that the entity identified in Part I is a direct reporting NFFE that is sponsored by the entity identified in line 21.
Part IV Certification

Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:

- The organization for which I am signing is the beneficial owner of the income and other payments to which this form relates,
- The beneficial owner is not a U.S. person,
- For a beneficial owner that is a controlled entity of a foreign sovereign (other than a central bank of issue wholly owned by a foreign sovereign), the beneficial owner is not engaged in commercial activities within or outside the United States, and
- For a beneficial owner that is a central bank of issue wholly owned by a foreign sovereign, the beneficial owner is not engaged in commercial activities within the United States.

Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt, or custody of the payments of which I am the beneficial owner or any withholding agent that can disburse or make payments of the amounts of which I am the beneficial owner.

I agree that I will submit a new form within 30 days if any certification made on this form becomes incorrect.

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Sign Here

Signature of authorized official ________________________ Print name ________________________ Date (MM-DD-YYYY)

☐ I certify that I have the capacity to sign for the entity identified on line 1 of this form.
REQUEST FOR TAXPAYER IDENTIFICATION NUMBER AND SIZE CERTIFICATION

<table>
<thead>
<tr>
<th>PRINT or TYPE</th>
<th>Legal Company or Individual Name:</th>
<th>DBA Name:</th>
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<tbody>
<tr>
<td>Check appropriate box:</td>
<td>□ Individual/Sole Proprietor</td>
<td>□ Corporation</td>
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<tr>
<th>Address (number, street and apt. or suite no.)</th>
<th>City, State, and ZIP code</th>
<th>EIN or SSN</th>
<th>DUNS Number</th>
</tr>
</thead>
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**Business Size:** (check one) □ Small □ Other Than Small Business (large, government, non-profit, foreign, individuals not identifying as a small business) may skip to Certification at the bottom

**Small Business Classification:**
- □ Woman-Owned Small Business
- □ Veteran-Owned Small Business
- □ Service-Disabled Veteran-Owned Small Business
- □ Alaskan Native Corporation or Indian Tribe
- □ Small Disadvantaged Business
- □ Historically Black College or University
- □ HUB Zone Small Business, S B A Certified
- □ Minority Business Enterprise
- □ Woman Business Enterprise

<table>
<thead>
<tr>
<th>NAICS Code - 6 digits</th>
<th>NAICS Description</th>
<th>Small Business (Y/N)?</th>
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**Commodity:** (check all that apply)
- □ Advertising/Marketing Services
- □ Agriculture
- □ Construction & Related Services
- □ Facilities/MRO
- □ Financial Services
- □ Furniture & Furnishings
- □ Hospitality/Events/Food & Beverage
- □ Human Resources & Personnel Services
- □ IT
- □ Manufacturing
- □ Medical
- □ Printing/Publications/Promo
- □ Scientific/Research/Laboratory
- □ Shipping
- □ Transportation/Automotive
- □ Travel

**Certification:** Under penalties of perjury, I certify that: (1) the number shown on this form is my correct taxpayer identification number, and (2) I am not subject to backup withholding because (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and (3) I am a U.S. person (including a U.S. resident alien).

NOTICE: Under 15 U.S.C. § 645(d), any person who misrepresents its size or socioeconomic status shall be (1) punished by a fine, imprisonment, or both; (2) subject to administrative remedies; and (3) ineligible for participation in programs conducted under the authority of the Small Business Act.

X
Signature of Authorized Representative

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<thead>
<tr>
<th>Name (printed or typed)</th>
<th>Title</th>
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<tr>
<th>Telephone</th>
<th>Fax</th>
<th>E-Mail Address</th>
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INSTRUCTIONS

Please complete the top section of the certification form, providing as much information as possible, including your DUNS number. Dun & Bradstreet (D&B) provides a D-U-N-S Number, a unique nine-digit identification number, for each physical location of your business. D-U-N-S Number assignment is FREE for all businesses required to register with the US Federal government for contracts or grants.

Small Business Classification Definitions:

Small Business (SB) - A Small Business that is independently owned and operated by an American citizen and which is not dominant in its field of operation. The size definition will vary from industry to industry and is based on the North American Industry Classification System (NAICS) codes either by number of employees or gross annual sales. The website for Size Standard and NAICS lookup is: https://eweb1.sba.gov/naics/dsp_naicssearch2.cfm

Small Disadvantaged Business (SDB) - An SB that is at least 51% owned and operated, or in the case of any publicly owned business, at least 51% of the stock of which is owned and operated by socially and economically disadvantaged individual or individuals. African Americans, Hispanic Americans, Asian Pacific Americans, Subcontinent Asian Americans, and Native Americans are presumed to qualify. Other individuals can qualify if they show a "preponderance of the evidence" that they are disadvantaged. All individuals must have a net worth of less than $750,000, excluding the equity of the business and primary residence. The website is: http://www.sba.gov/sdb/indexaboutsdb.html Must be certified as SDB by the Small Business Administration.

Women-owned Small Business - An SB that is at least 51% owned and operated by one or more women, or, in the case of any publicly owned business, at least 51% of the stock of which is owned by one or more women

Historically Underutilized Business Zone Small Business (HUBZone) - An SB with its principal office located within a HUBZone, which includes lands on federally recognized Indian reservations; owned and controlled by one or more U.S. citizens and at least 35% of its employees must reside in a HUBZone. The website is: https://eweb1.sba.gov/hubzone/internet/ Must be certified as HUBZone by the Small Business Administration.

Veteran-owned Small Business (VOSB) - An SB that is at least 51% owned and operated by one or more veteran(s), or, in the case of any publicly owned business, at least 51% of the stock of which is owned by one or more veterans, who actively served in the U.S. military, naval, or air service and were honorably discharged. The website is: http://www.sba.gov/VETS/

Service-Disabled Veteran-owned Small Business - Same criteria as VOSB except the veteran has a disability that is service-connected.

NAICS Code: Provide your primary North American Industry Classification System (“NAICS”) codes, descriptions and size standard for the products or services that your company is providing. In addition, provide any other NAICS codes that you can be considered for doing business with Colorado State University. NAICS codes are needed for the Summary Subcontract Report breakout report that we are required to submit to the government annually pursuant to FAR 52.219-9(1)(2)(iii).

For legal definitions and assistance, contact your local Small Business Administration office. In Colorado, it is S B A, Colorado District Office, 721 19th Street, Suite 426, Denver, Colorado 80202, telephone 303-844-2607. Size standard information is available at https://www.sba.gov/size-standards/